

ATTORNEY DOCKET NO.: S&S-1108

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Edmund Schuller et al.

Examiner: Unknown

Serial No.: 10/074,579

Art Unit: 2834

Confirmation No.: 6967

Filed: February 13, 2002

For: Open End Spin-Rotor with an  
Attachable Support Cap*6/ Prior art 1st  
20  
11-30m*INFORMATION DISCLOSURE STATEMENTCommissioner of Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

The present information Disclosure Statement is submitted in accordance with applicants' Duty of Disclosure.

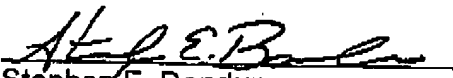
Applicants are providing a search report issued by the German Patent Office on the corresponding German priority document, as well as copies of the references or counterpart references cited therein. In this regard, please note that U.S. Patent No. 5,730,532 corresponds to DE 197 05 607. U.S. Patent No. 5,722,227 corresponds to DE 195 45 786. U.S. Patent No. 5,209,055 corresponds to DE 39 14 777. U.S. Patent No. 5,966,920 corresponds to DE 197 38 446. Applicants' duty to provide a statement of relevance as to the remaining foreign language reference cited in the German Patent Office search report is satisfied by the search report indicating the degree of relevance in accordance with 1138 OG 38 of May 19, 1992, and MPEP § 609A(3).

The Examiner is encouraged to contact the undersigned at his convenience should he have any questions regarding this matter or require any additional information.

Respectfully submitted,

DORITY & MANNING, P.A.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT

In re Application of: EDMUND SCHULLER ET AL. Attorney Docket No.: S&S-1108  
 Serial No.: 10/074,579 Date: June 27, 2002  
 Filed: FEBRUARY 13, 2002 Art Unit: 2834  
 Confirmation No.: 6967 Our Account No.: 04-1403  
 Title: OPEN END SPIN-ROTOR WITH AN ATTACHABLE SUPPORT CAP

Commissioner for Patents  
 U.S. Patent and Trademark Office  
 Washington, D.C. 20231

Sir:

The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

- a. ☒ A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):  
6 item(s)
- c. ☒ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items:  
PLEASE SEE ATTACHED  
☒ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☒ WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, whichever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☐ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i. ☐ Certification per Rule 97(e); OR
- ii. ☐ Filing Fee per Rule 17(p) .....\$180.00
- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. ☐ Certification per Rule 97(e); AND
- ii. ☐ Filing fee per Rule 17(p) .....\$180.00

3. ☐ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. ☐ That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. ☐ That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

CERTIFYING PARTY (if different from bottom signature; omission here indicates that certification is being made by signer per signature below).

Name: \_\_\_\_\_ Signature: \_\_\_\_\_  
 Address: \_\_\_\_\_ Date: \_\_\_\_\_

4.[X] DEPOSIT ACCOUNT AUTHORIZATION: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any fees in addition to the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (deficiency only) now or hereafter relative to this application and the resulting official document under Rule 20, or credit any overpayment, to our Account No. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This statement does not authorize charge of the issue fee in this case.

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Date: June 27, 2002